

---

# **Threat Modeling and Experiments for an IFE Final Optic**

---



**Jeff Latkowski, Maria Caturla, Alison Kubota, Sham Dixit, Joel Speth, Steve Payne**

**Laser IFE Meeting  
November 13-14, 2001**

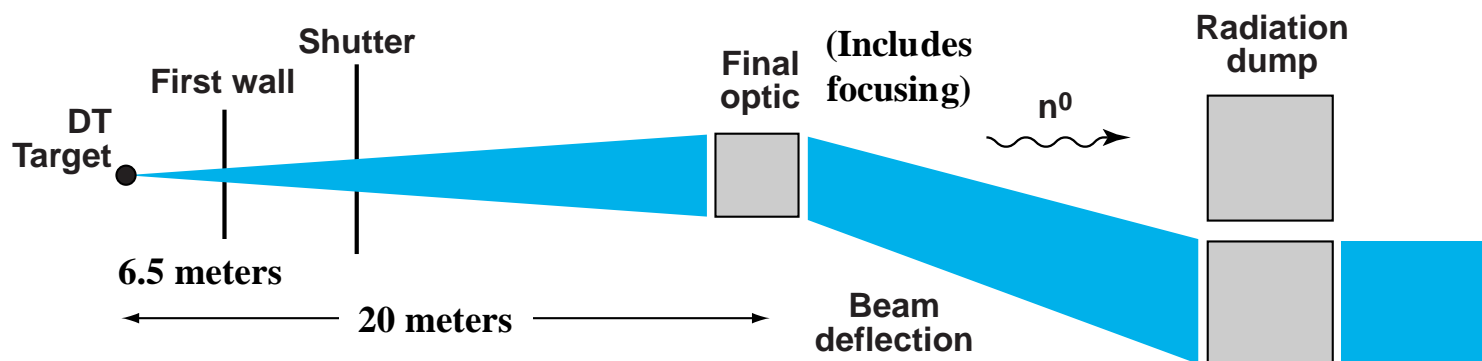
# Introduction

---



- Radiation source term/threat to final optic
- Radiation damage and annealing in  $\text{SiO}_2$
- Heating/cooling and design of a thin diffractive Fresnel lens
- Irradiation studies for  $\text{CaF}_2$  and Al
- Experimental study of x-ray ablation
- Ion radiation damage to final optic
- Summary and future work

# Updated source term for x-rays, neutrons, $\gamma$ -rays, and ions: final optic at **20 m**



Threat	Target Emission	First Wall	Final Optic
X-rays	5.6 MJ/shot	1.1 J/cm <sup>2</sup> per shot (for vacuum; can be reduced with fill gas)	0.11 J/cm <sup>2</sup> (for vacuum; can be reduced with fill gas)
Neutrons	280 MJ/shot	190 krad/s; 3.5 MW/m <sup>2</sup> ; $9 \times 10^{13}$ n <sup>0</sup> /cm <sup>2</sup> -s (14 MeV)	19.6 krad/s; 0.36 MW/m <sup>2</sup> ; $9.7 \times 10^{12}$ n <sup>0</sup> /cm <sup>2</sup> -s (14 MeV)
$\gamma$ -rays	$\ll 1$ MJ/shot	41 krad/s	3.2 krad/s
Ionic debris	110 MJ/shot	21 J/cm <sup>2</sup> per shot; 1.4 MW/m <sup>2</sup> (for vacuum; can be reduced with fill gas)	2.2 J/cm <sup>2</sup> per shot; 0.15 MW/m <sup>2</sup> (for vacuum; can be reduced with fill gas)

Note: Target emissions calculated for NRL target scaled up to 400 MJ assuming the energy partitioning remains the same.

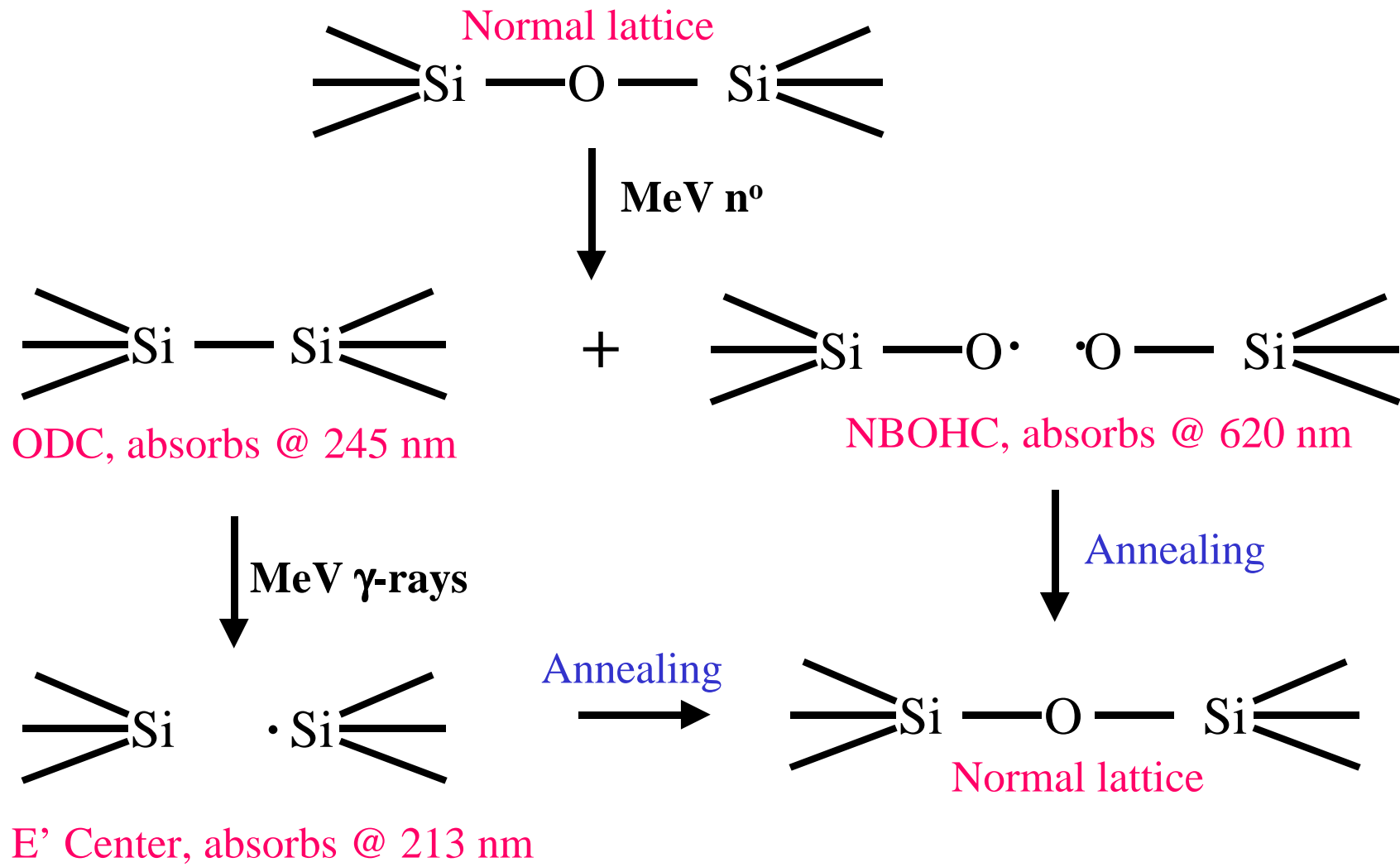
# Threats to the final optic – neutrons and gamma-rays

---

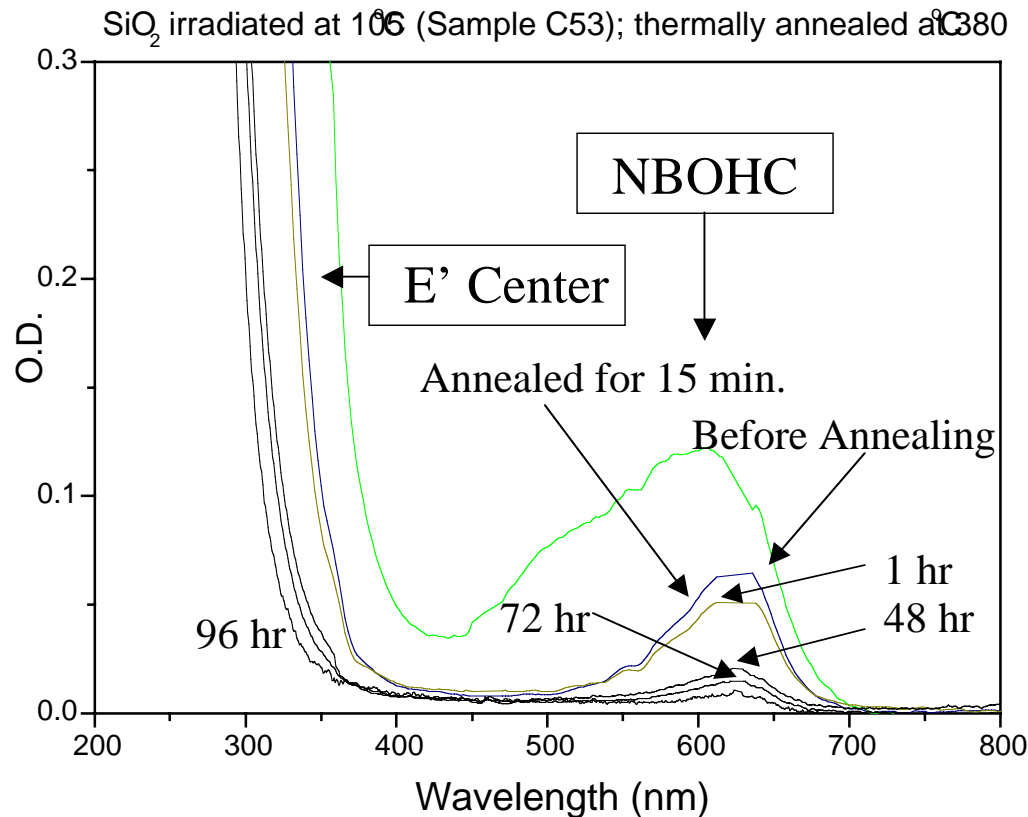


- $\text{SiO}_2$  samples irradiated at LANCE facility:
  - Total neutron dose of  $0.7\text{--}1.0 \times 10^{11}$  rad ( **$\sim 0.16$  FPY**)
  - Neutron dose rate of LANSCE ( $\sim 10$  krad/s) and IFE (19.6 krad/s) are comparable
- Predicted transmutation of an IFE  $\text{SiO}_2$  final optic:
  - H = 63 appm/FPY
  - He = 155 appm/FPY
  - Mg = 34 appm/FPY
  - Al = 9 appm/FPY

# Neutron irradiation leads to simultaneous formation of E', ODCs (oxygen deficient centers), and NBOHCs (non-bridging oxygen hole centers)



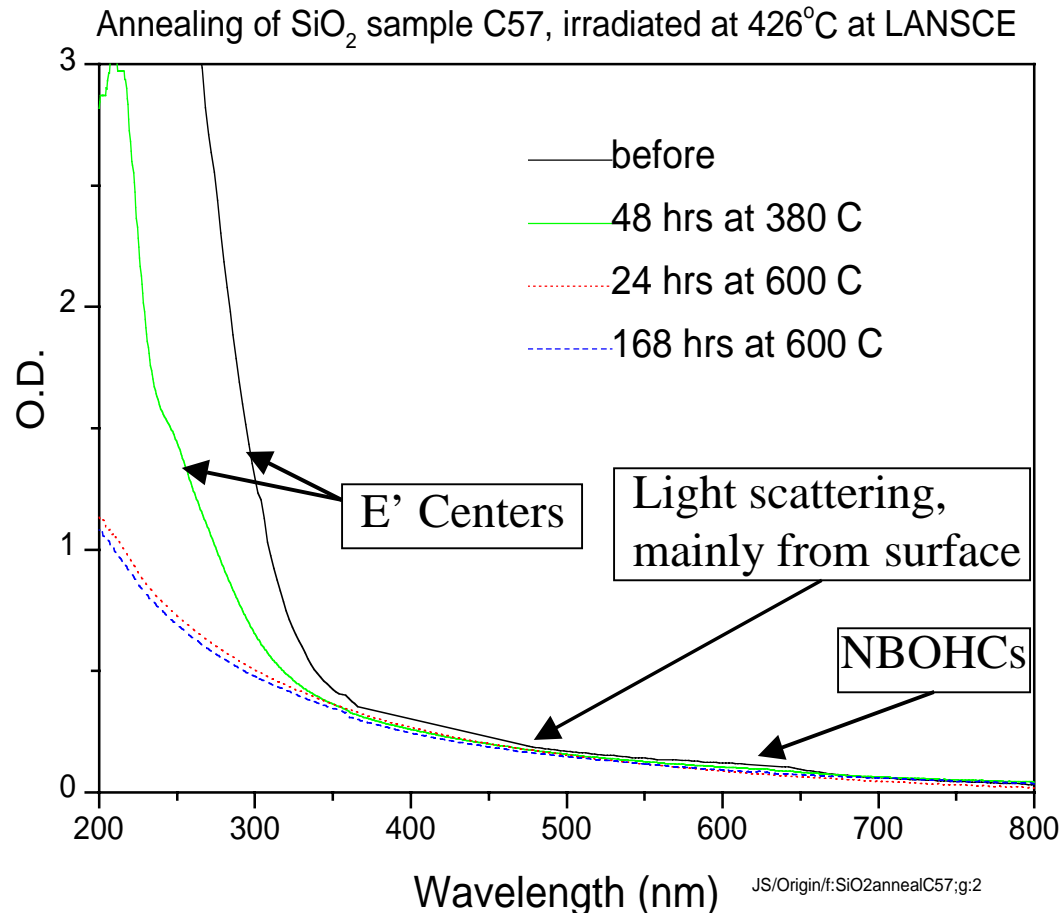
## Following a dose of $\sim 10^{11}$ rad n $^\circ$ at 105 $^\circ$ C, it is possible to anneal away the NBOHCs, ODCs, and E' Centers



- NBOHC absorbs at 620 nm,  
 $\sigma_{\text{abs}} = 1.6 \times 10^{-19} \text{ cm}^2$  (from lit.)
- E' Center absorbs at 213 nm,  
 $\sigma_{\text{abs}} = 3.2 \times 10^{-17} \text{ cm}^2$  (from lit.)
- Cross sections ratio of 200,  
compared to 110 from data

**The data is consistent with the simultaneous  
creation and annihilation of E' and NBOHCs**

# Following a dose of $\sim 10^{11}$ rad n $^\circ$ at 426 $^\circ$ C, annealing of the E' Centers is observed



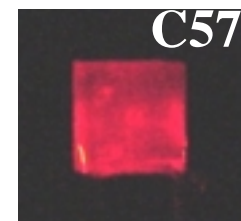
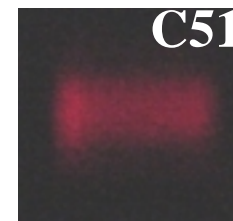
- Annealing at 380  $^\circ$ C reduces the E' defect population ( for  $\lambda < 350$  nm)
- Annealing at 600  $^\circ$ C completely eliminates the E' centers
- Slow rise in the baseline is due to scattering, and cannot be annealed

# 10<sup>11</sup> rad neutron irradiation of SiO<sub>2</sub> induces scatter and absorption losses using a HeNe laser



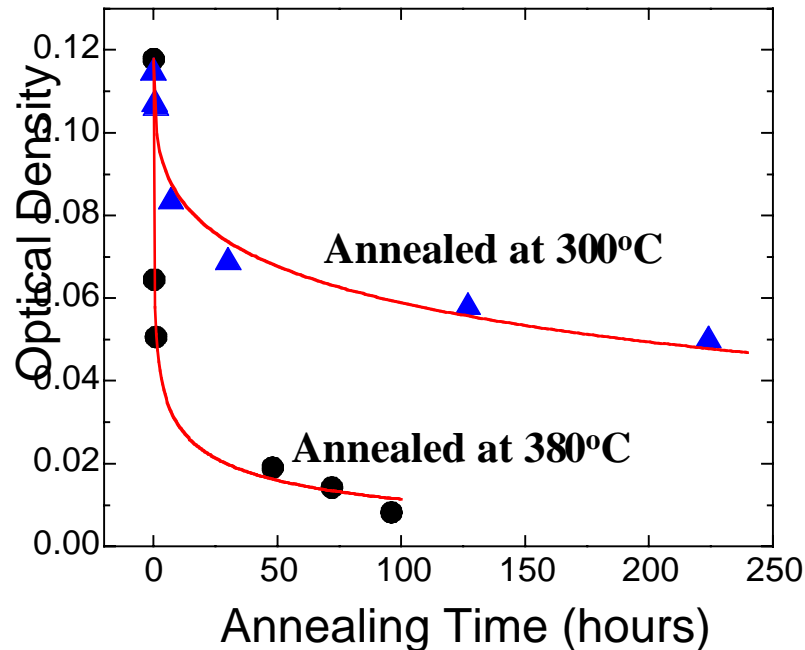
Sample	Irradiation T(°C)	Absorption $\alpha_{\text{abs}}$ (633 nm)	Scatter $\alpha_{\text{scatt}}$ (633 nm)	Comments
Pristine	None	0.0%/mm	0.00%/mm	Average = 0.49%/mm
C53	105°C	2.8%/mm	0.52%/mm	
C54	105°C	2.3%/mm	0.60%/mm	
C51	127°C	1.9%/mm	0.35%/mm	
C52	127°C	1.9%/mm	0.49%/mm	Average = 0.74%/mm
C55	179°C	2.5%/mm	0.73%/mm	
C56	179°C	2.2%/mm	0.74%/mm	
C57	426°C	0.4%/mm	29.6% total	Due to surface scatter
C58	426°C	0.6%/mm	36.0% total	

- Annealing does not reduce scatter, which probably arises from O<sub>2</sub> bubbles
- Surface of C57 and C58 samples have been etched (possibly due to acidic atmosphere)





# The decay of the NBOHC absorption (620 nm) is fitted to a “stretched exponential” function



- Fit to  $\alpha = \alpha_0 \exp [-(t/\tau)^\beta]$
- Annealing NBOHC at 380 °C yields
  - $\tau = 2.3$  hrs,  $\beta = 0.22$
  - $\tau_{\text{anneal}} = 228$  hrs
- Annealing NBOHC at 300 °C yields
  - $\tau = 314$  hrs,  $\beta = 0.33$
  - $\tau_{\text{anneal}} = 1884$  hrs

➤ Using  $\tau_{\text{anneal}} = \tau_0 \exp (T_0/T)$  yields:

➤  $T_0 \sim 10,000$  K (0.86 eV),  $\tau_0 = 5.9 \times 10^{-5}$  hr

# Defect generation by SPR-III and LANSCE indicate that radiation annealing is occurring

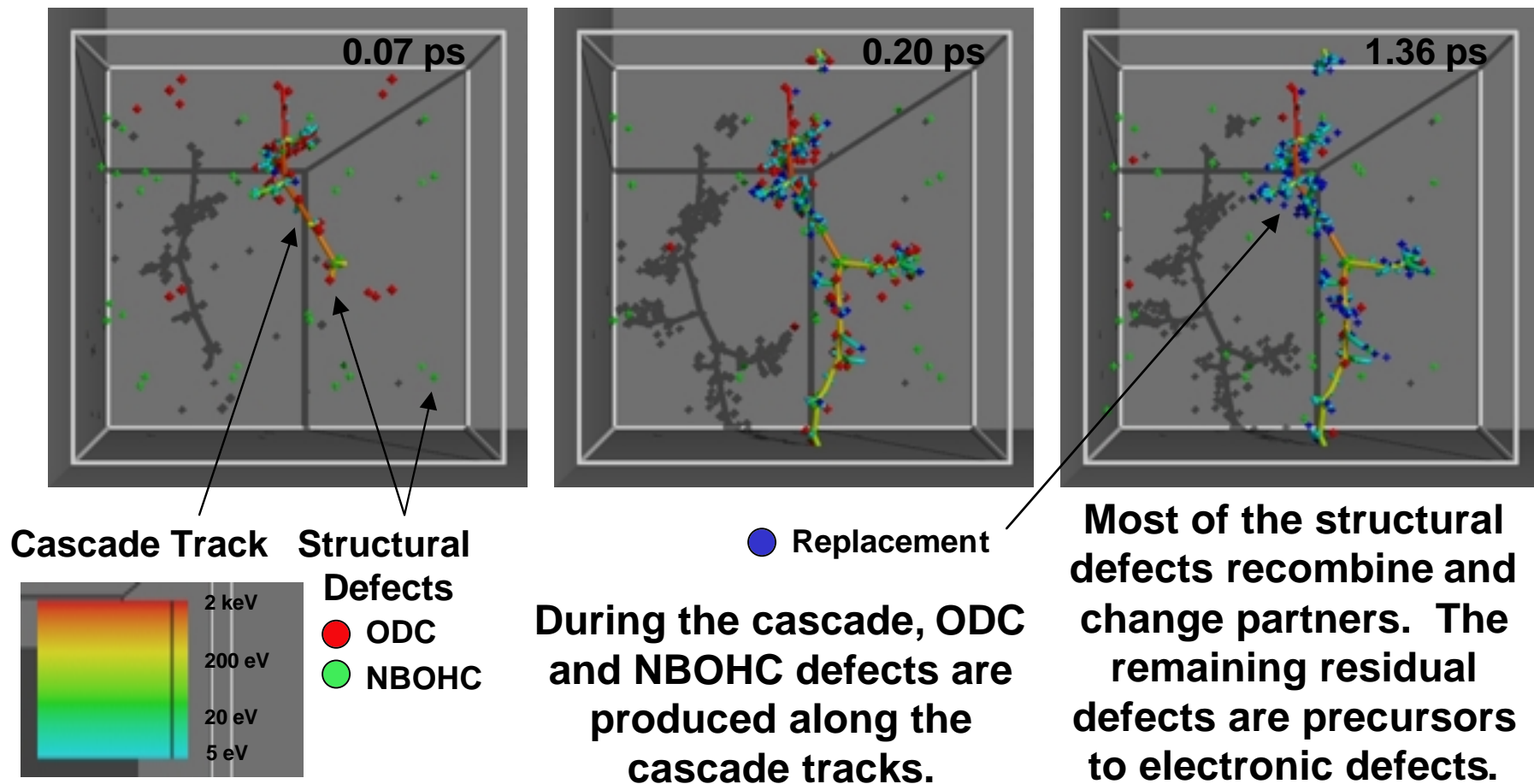


- SPR-III run –  $3.2 \times 10^5$  rad
  - \_ Produced *1880 defects* per 10 MeV-equivalent collision
- LANSCE run –  $1.0 \times 10^{11}$  rad
  - \_ Produced *only 0.35 defects* per 10 MeV-equivalent collision
- Defects are experiencing “self-healing” due to radiation annealing
  - \_ Assume atoms reaching 10,000 °C are locally annealed (0.86 eV activation energy)
  - \_ 10 MeV n° collision heats  $10^6$  atoms to 10,000 °C (11% momentum transfer)
- Calculated limiting defect concentration =  $[(6.6 \times 10^{22} \text{ SiO}_2\text{-atoms/cm}^3) / (10^6 \text{ melted-atoms/collision})] (1880 \text{ defects/collision}) = 1.2 \times 10^{18} \text{ defects/cm}^3$
- Measured limiting defect concentration =  $2 \times 10^{18} \text{ defects/cm}^3$
- Limiting defect absorption is:
  - \_  $\sim 0.1 \text{ mm}^{-1}$  at  $0.35 \mu\text{m}$  for  $T < 300^\circ\text{C}$
  - \_  $\leq 0.1 \text{ mm}^{-1}$  for  $T > 400^\circ\text{C}$

# Atomistic modeling provides insight into behavior of defects produced during $n^0$ irradiation



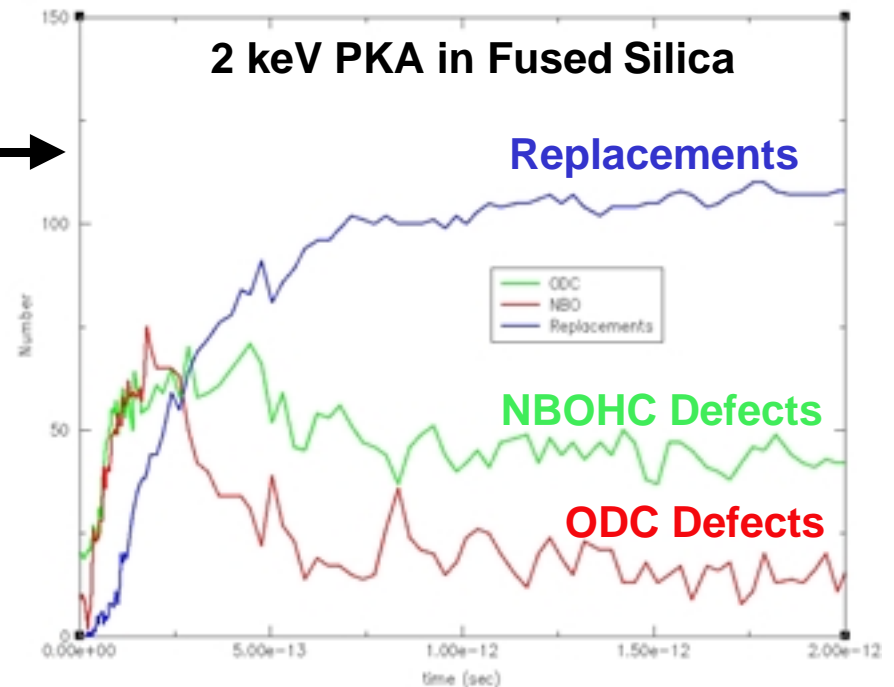
## 2 keV Recoil in Fused Silica (0.4% OH Content)



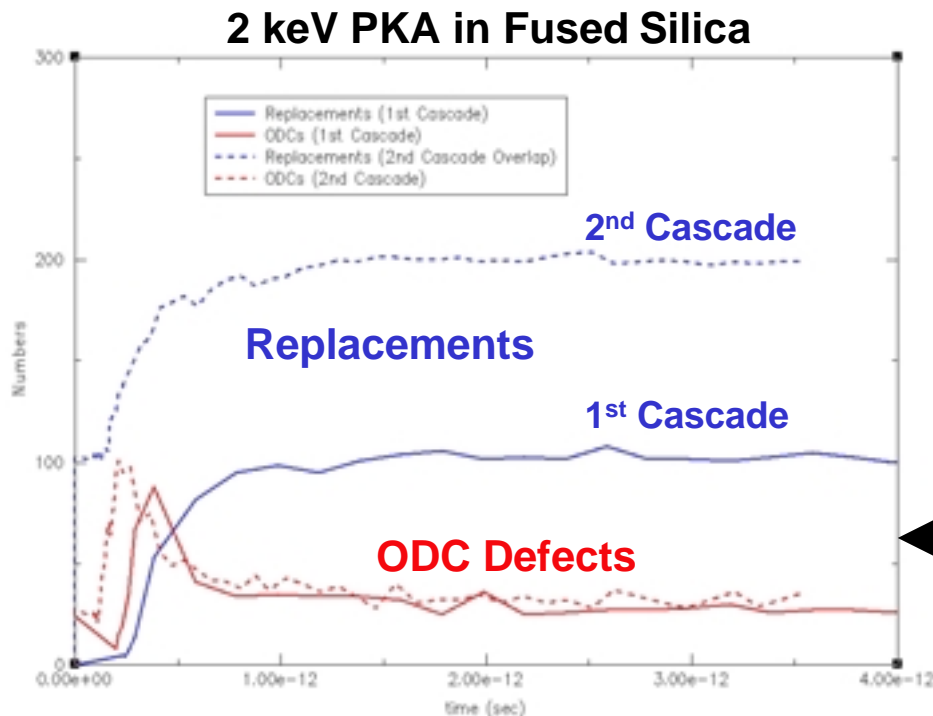
# We are moving towards an understanding of the mechanisms of $n^0$ damage and radiation annealing



During single cascades, a large fraction of defects generated are annihilated. (Generation of Replacements).



Multiple cascades show that defects do not increase proportionally with additional overlapped cascades.



# Optical absorption at $\lambda=0.35\ \mu\text{m}$ leads to heating of SiO<sub>2</sub> optic

---



- Optic is heated by:
  - Laser absorption (scales with thickness)
  - Attenuation of target emissions (e.g., neutrons)
  - Blackbody radiation from surroundings (e.g., chamber)
- Options for cooling:
  - Gas-cooling — requires  $\gg 1$  Mach flow, not possible
  - Thin channel of water — causes bowing of surfaces leading to strong lens, not possible
  - Use of *thin* optic to increase surface-to-volume ratio — requires diffractive optic and radiative cooling

# Based upon radiative cooling, we estimate the final optic temperature



Total driver energy	3.7 MJ
Number of beams	345
Yield per target	281 MJ
Power plant repetition rate	11.1 Hz
Fusion power	3120.8 MW
Laser energy per beam	10.7 kJ

Fluence limit	1.46 J/cm <sup>2</sup>
Final optic area	0.73 m <sup>2</sup>
Final optic radius	48.2 cm
Final optic standoff	20.0 m
Half-angle per beam	1.38 deg
Solid-angle fraction per beam	1.45E-04
Total open solid-angle	5.0%

Chamber radius	6.5 m
Penetration area	0.08 m <sup>2</sup>
Penetration radius	15.7 cm
Chamber temperature	1723 K

Neutron heating:	
Neutron power	2496.6 MW
Neutron loading @ optic	0.50 MW/m <sup>2</sup>
Neutron mfp	8.23 cm
Average E <sub>dep</sub> per collision	1.59 MeV
Neutrons colliding	0.6%
Neutron energy deposited	0.07%
Neutron heating	0.34 kW/m <sup>2</sup>
Neutron heating	0.25 kW

Thickness of final optic	0.0500 cm
Absorption coefficient	1.0 cm <sup>-1</sup>
Percent absorption	4.88%
Energy absorbed in optic	0.5 kJ
Laser absorption in optic	5.77 kW
Emissivity of optic (SiO <sub>2</sub> )	0.88
Radiative power	6.07 kW
Temperature of surroundings	400 K

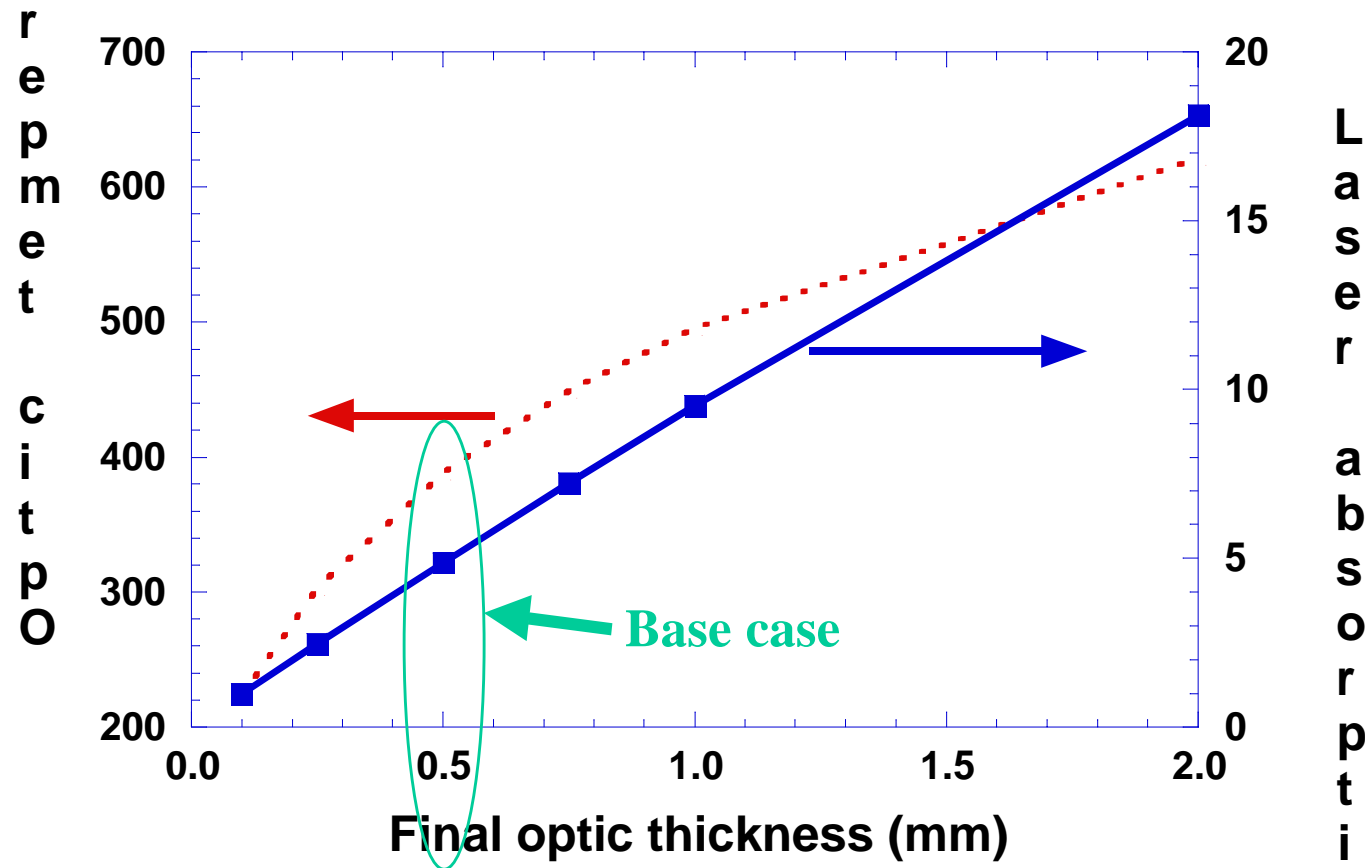
Average temperature of optic:		
T <sub>optic</sub> =	662 K	389 C

Chamber --> Optic view factor calculation:	
a =	13.5 m
R <sub>1</sub> =	1.16E-02
R <sub>2</sub> =	3.57E-02
X =	7.43E+03
F <sub>1-2</sub> =	1.27E-03

Heating from chamber to optic:	
Q <sub>1-2</sub> =	0.05 kW

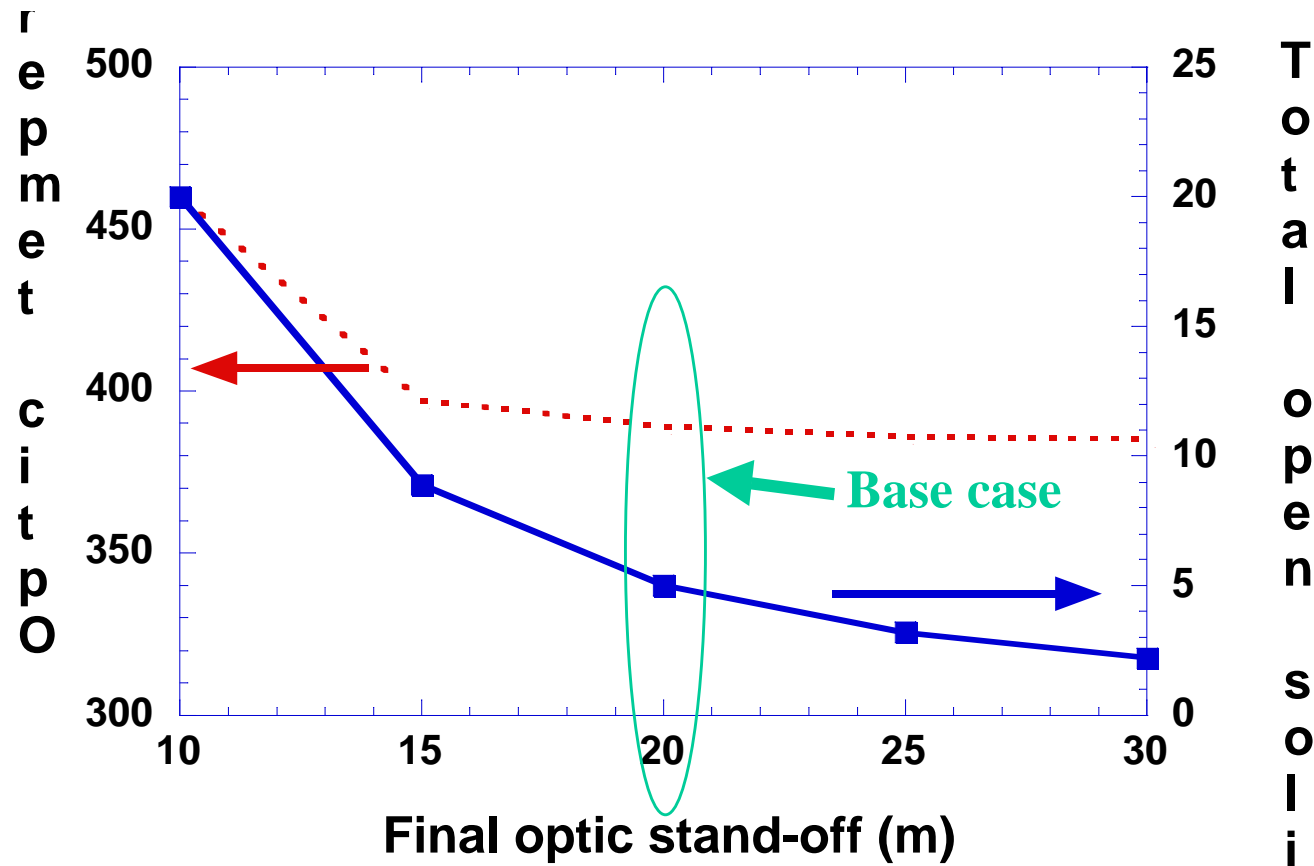
- Calculation begins with parameters from C. D. Orth, S. A. Payne, and W. F. Krupke, *Nucl. Fusion* **36** (Jan. 1996) 75-116:
  - E<sub>driver</sub> = 3.68 MJ
  - Repetition rate = 11.1 Hz
  - G = 76
  - N<sub>beams</sub> = 345

# The final optic needs to be thinner than 1 mm to limit laser absorption



- Calculation assumes  $\phi_{\text{optic}} = 1.5 \text{ J/cm}^2$
- A 500- $\mu\text{m}$  thick optic would have an absorption of nearly 5% and equilibrium temperature of 389°C

# The optic stand-off distance, $R$ , must be $>15$ m to limit $\Omega$ to a reasonable value



- For  $R < 15$  m, radiative heating from chamber becomes important
- For  $R > 20$  m, little reduction in optic temperature with increasing stand-off as laser heating dominates



# Fabrication of the final optic Fresnel lens is challenging

---



- Focus lens parameters:
  - Aperture 30 cm diameter
  - Focal length 20 m
  - Wavelength 351 nm
  - Optic thickness 500  $\mu\text{m}$  (goal 200  $\mu\text{m}$ )
  - Focusing efficiency >99%
  - Damage threshold >2 J/cm<sup>2</sup>
- Technical challenges:
  - Producing thin fused silica
  - Fabricating high-efficiency, off-axis Fresnel lens

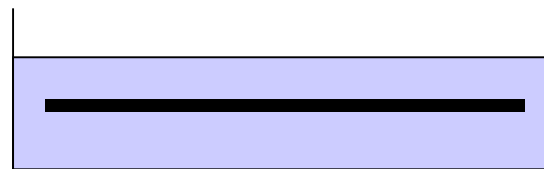
# Producing thin fused silica sheets is feasible



- Eyeglass Fresnel lens project has used 1 mm thick  $\text{SiO}_2$  panels at 0.5 m sizes
- It is possible to polish  $\text{SiO}_2$  down to  $\sim 500 \mu\text{m}$
- $500 \mu\text{m}$  to  $200 \mu\text{m}$  thinning can be performed by immersing the optic in a HF etch bath ( $\sim 3$  days @  $50 \text{ nm/min}$  etch rate)
- If needed, further wavefront improvement of the thin sheets is possible with wet-etch figuring tools developed at LLNL



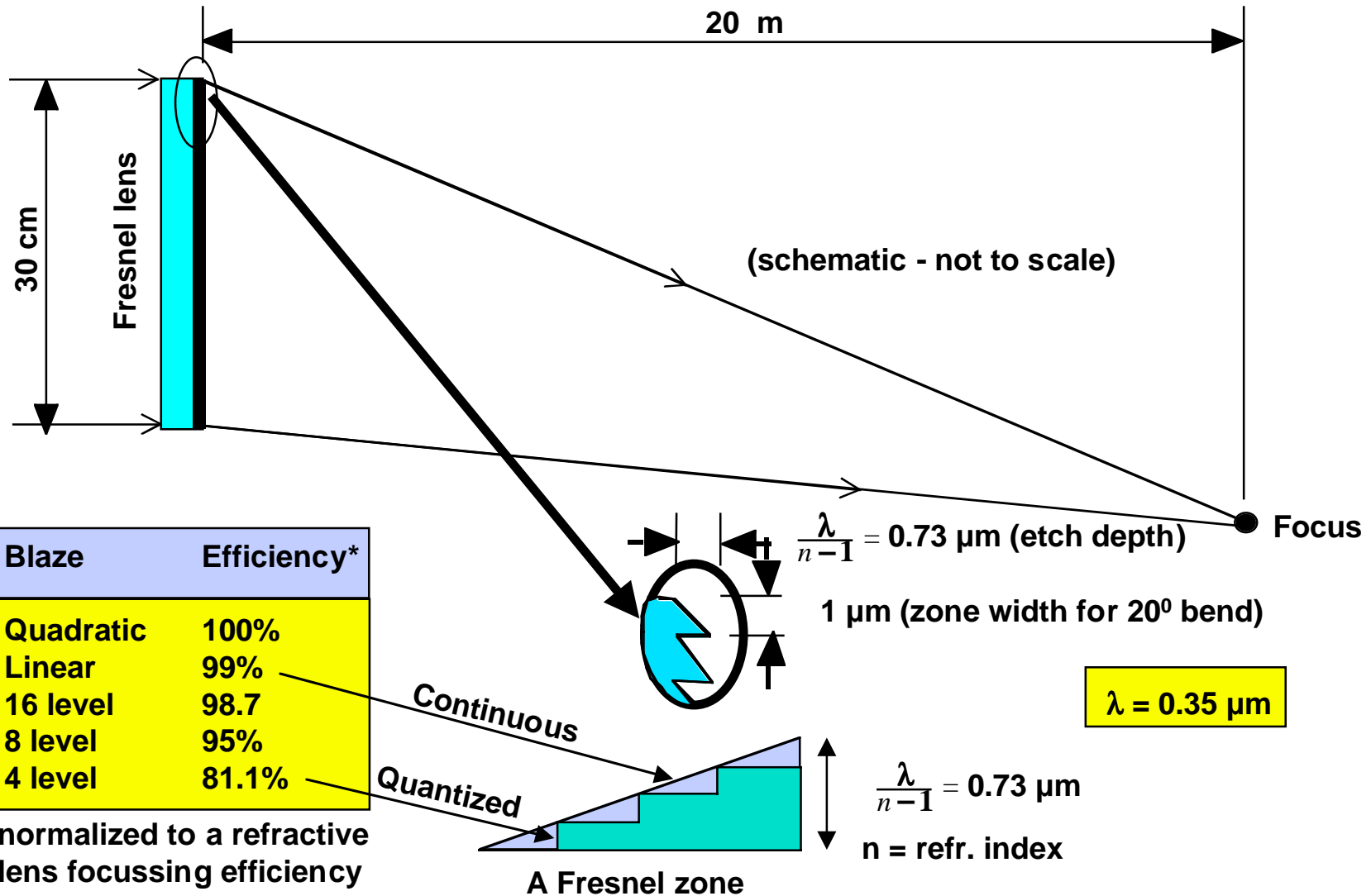
**Fresnel lens in 1 mm thick FS**



**HF etch bath**



# Characteristics of a diffractive Fresnel lens: 30-cm diameter example

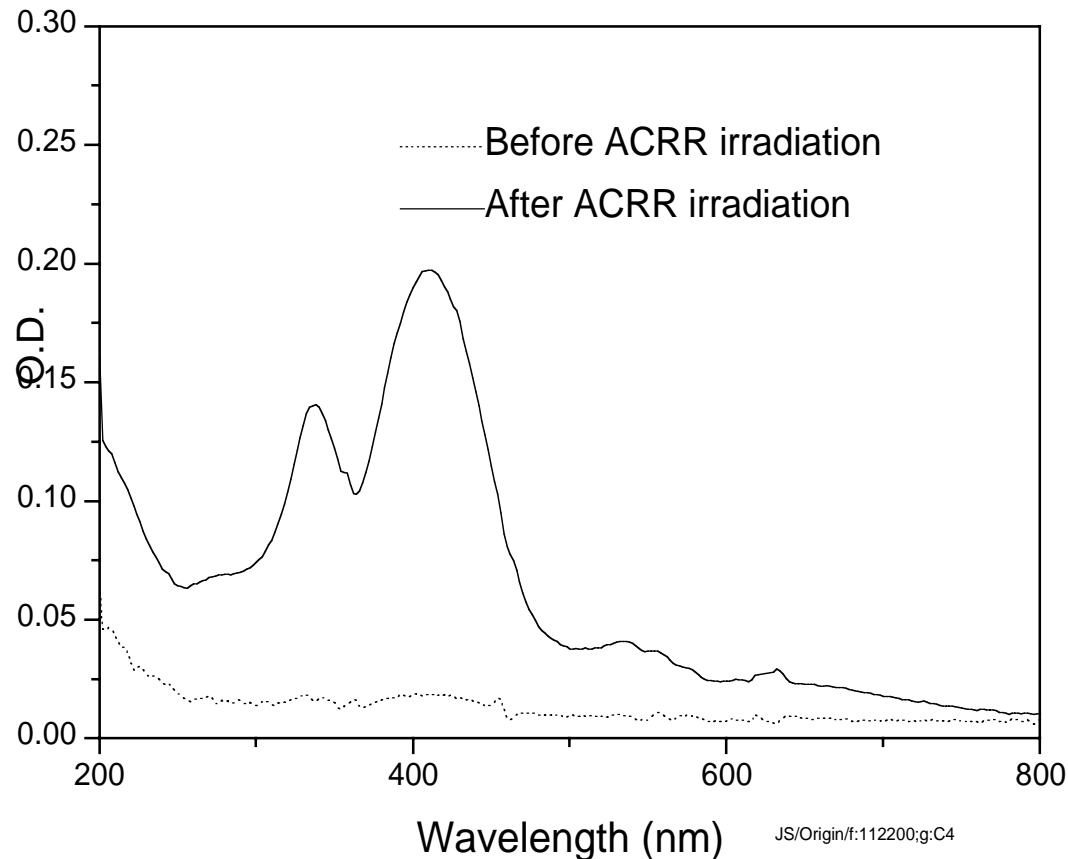


# CaF<sub>2</sub> incurs substantial absorption at 0.35 $\mu\text{m}$ in response to n<sup>0</sup> irradiation (0.75 Mrad)



11/22/00 Bicron CaF<sub>2</sub> sample C4

Before and After Sandia 750 krad ACRR irradiation

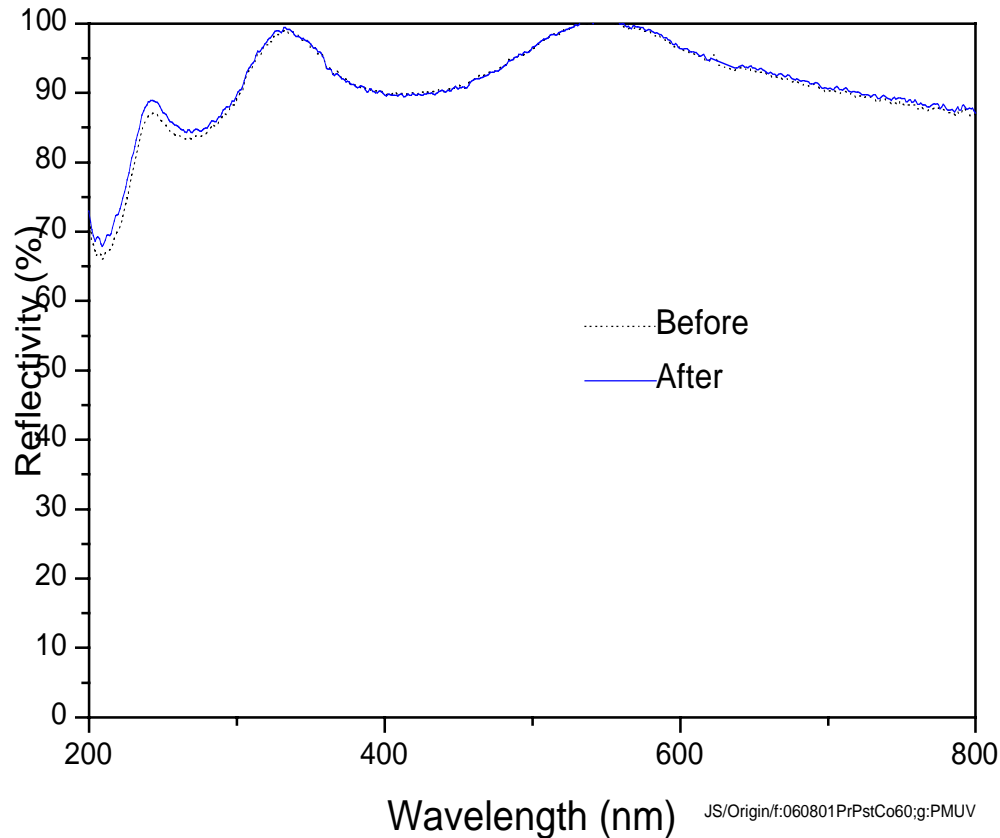


- Compared to SiO<sub>2</sub>, absorption at 0.35  $\mu\text{m}$  is  $\sim 10\times$  larger for same neutron dose

# Aluminum mirror does not evidence any change in reflectivity in response to 3 Mrad of gammas



Plane Mirror (UV grade mat'l) before and after 3 Mrad gamma irradiation

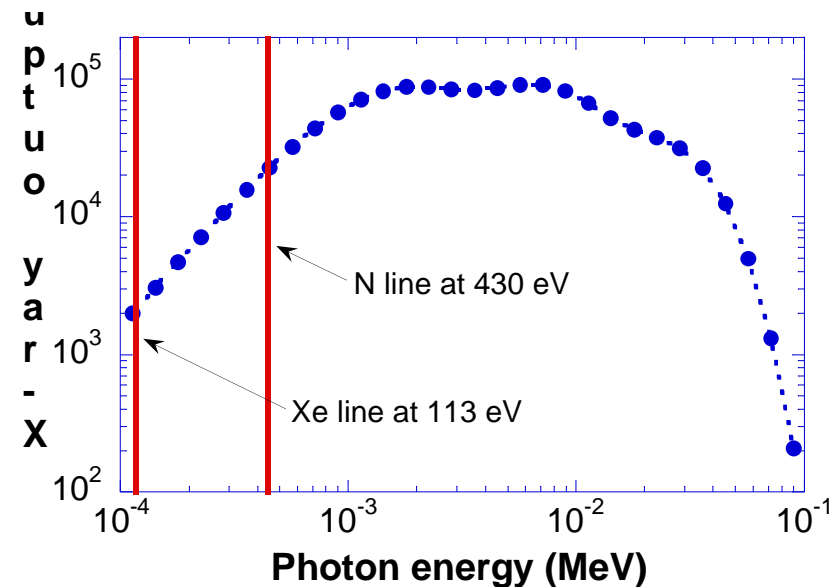


- Neutron irradiations have been completed, and will be evaluated shortly

# IFE walls and optics may be exposed to significant fluences of soft x-rays



- NRL direct-drive target has a broad x-ray “peak” from  $\sim 0.5$  to  $30$  keV; significant energies from  $\sim 20$  eV to  $100$  keV
- Under vacuum, wall exposed to per shot fluence of  $>1$  J/cm<sup>2</sup>; optics exposed to  $\sim 0.1$  J/cm<sup>2</sup>
- Chamber wall can be designed to avert melting/vaporization
- With  $>10^8$  shots/year and stringent requirements, *sub-threshold* effects may be important. Removal limits:
  - Chamber wall:  $10^{-2} - 10^{-1}$  monolayers/shot
  - Optics: non-uniform removal  $<10^{-5}$  monolayers/shot



**Mechanisms other than melting and vaporization may remove material, affect ability to reflect or transmit laser energy, etc.**

## **X-ray deposition produces different response than does corresponding levels of laser energy**

---

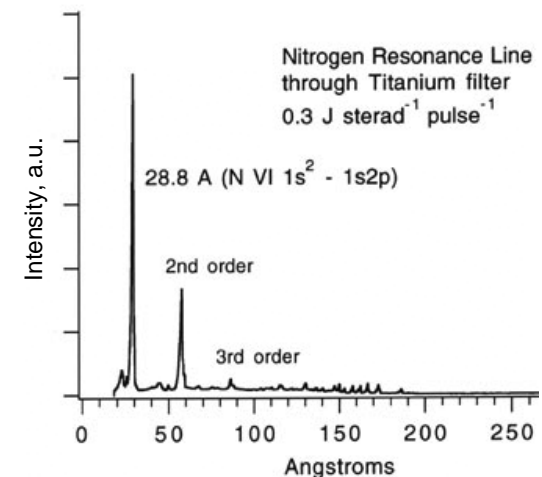
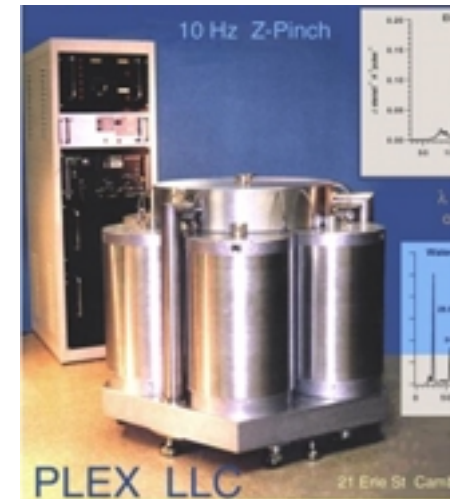


- Primary distinction is depth of deposition ( $\mu\text{m}$  for x-rays vs. nm for laser)
- Longer x-ray deposition distances emphasize importance of hydrodynamics of interior material:
  - Rapid increase in internal energy due to x-ray deposition creates high pressures within the material
  - Pressure drives expansion (hydrodynamic motion) from surface layers away from bulk of the material
  - As expansion stops at end of pulse, rarefaction waves propagate from surface into bulk → these tensile stresses can be very important as mechanisms for ablation of heated material
- X-rays possess sufficient energy to break chemical bonds

# PLEX can produce x-rays at energies, fluences, and repetition rates relevant to IFE conditions



- PLEX uses a Z-pinch to produce x-rays:
  - 1 GHz radiofrequency pulse pre-ionizes low-pressure ( $\sim 0.2$  Torr) gas fill
  - Pinch initiated by 150 kA from thyratrons
  - Operation at repetition rates up to 10 Hz
- Can run at multiple wavelengths with multiple ellipsoidal collectors and different fill gases:
  - Xe @ 113 eV, 18 J/cm<sup>2</sup>, 3.0 mm spot size,  $12 \pm 5$  ns
  - N @ 430 eV, 0.3 J/cm<sup>2</sup>, 1.4 mm spot size,  $< 30$  ns
- With filters, can generate nearly pure line energies
- Minor pinch component replacement after  $\sim 10^7$  shots; thyratrons good to  $> 10^9$  shots





# PLEX will be used in conjunction with the *ABLATOR* code

---



- Diagnostics:
  - Continual monitoring of pinch electrical characteristics
  - Output characterization using an x-ray spectrometer and photo diodes
  - Measure surface height changes with Tencor alpha-step 200
  - Surface characterization using white light interferometry
  - Material removal mechanisms will be explored with optical and photon tunneling microscopy
  - For optics: pre- and post-shot characterization (collaborations with UCSD group and LLNL's LS&T group)
- *ABLATOR* x-ray deposition model will be upgraded
- First experiments will use filters to select line energies and benchmark *ABLATOR* for single-shot ablation as  $f(\phi)$
- Introduce additional materials; add capabilities to *ABLATOR*
- Repeat materials for multiple (10's), and eventually, many (1000+) shots

# Proposed plans and strategy for x-ray ablation facility

---



- Facility will be used to test chamber wall and optics materials:
  - C/C composites, graphite, tungsten and tungsten alloys
  - SiO<sub>2</sub> and other transmissive optics; Al mirrors
- Pre- and post-irradiation analysis of erosion morphology
- Formation of a steering committee comprised of national members:
  - Will represent technical and institutional interests
  - Will set priorities and access national assets for post-irradiation analysis
- Ultimate facility goal is to develop and benchmark modeling tools to predict behavior

## PLEX is a versatile x-ray source that could address IFE issues

---



- Key advantages are that PLEX would be very *controllable*, *rep-rated*, easy to diagnose, and *dedicated*
- Could be used to explore effects that surface contamination and ion implantation have upon x-ray ablation
- In longer-term, could envision testing of neutron-irradiated materials
- PLEX can be modified for testing of mitigation methods:
  - Background fill gas such as Xe
  - Gas puffs at the optic
  - Liquid Xe droplets

# Optics may be strongly affected by large charged particle fluences



## Fused Silica

- ❑ Sputtering: removal of  $1.9 \mu\text{m/y}$   
( $5.5 \times \lambda_{\text{DPSSL}}$ ) for lens at 30 m
  - ❑ Ion irradiation on  $\text{SiO}_2$  optics  
may cause significant changes in  
optical properties:
    - 3% absorption at 546 nm induced  
by 20 keV  $\text{He}^+$  irradiation to  
fluence of  $5 \times 10^{15} \text{ cm}^{-2}$
    - 0.04% absorption at 200-600 nm  
from  $1.2 \times 10^{17} \text{ Au/cm}^2$  at 3 MeV  
(we expect 55× this per year)
    - Literature shows changes in  
refractive index as well
- JFL—11/01 HAPL Mtg.

## Aluminum

- ❑ Sputtering: removal of  $\sim 15 \mu\text{m/y}$   
( $59 \times \lambda_{\text{KrF}}$ ) for Al GIMM ( $85^\circ$ ) at  
30 m
- ❑  $\text{H}_2^+$  and  $\text{He}^+$  irradiation produces  
dislocation loops and gas bubbles:
  - He bubbles keep spherical shape  
even after annealing at 893 K (see  
figure)
  - Bubbles near surface may affect  
reflectivity and/or beam quality

**893 K**

He bubbles in aluminum after irradiation  
with 17 keV  $\text{He}^+$  ions with a fluence of  
 $1.2 \times 10^{14} \text{ ions/cm}^2$  at 573 K.

## Summary

---



- Radiation-annealing effect has been observed in  $\text{SiO}_2$ ; limiting absorption at  $0.35\ \mu\text{m}$  is  $0.1\ \text{mm}^{-1}$  at  $<300^\circ\text{C}$
- $0.35\ \mu\text{m}$  absorption can be reduced further by annealing near  $400^\circ\text{C}$
- Collisional cascade theory and experimental results are in rough agreement
- Use of a thin ( $\sim 0.5\ \text{mm}$ ) optic allows for acceptable absorption and scatter losses and operational temperature

## Future work

---



- Samples of  $\text{Al}_2\text{O}_3$  and  $\text{MgF}_2$ , as well as dielectric and aluminum mirrors have been irradiated at ACRR (1 Mrad neutrons) and will be analyzed for their IFE potential
- Determine optical damage limit for  $n^0$ -irradiated  $\text{SiO}_2$
- MDS calculations will be extended:
  - Detailed understanding of radiation annealing
  - Understand cascade overlap and defect annihilation in  $\text{SiO}_2$
  - Move to higher PKA energies
- Small off-axis Fresnel lens to be fabricated for optical testing
- Instantaneous  $n^0$  irradiation response will be evaluated by LANL
- Next crucial element of final optic survivability is ablation and sub-threshold damage by x-rays